



An adaptive signal control framework for a single intersection is developed. Both the traffic volume prediction model (LP) and the signal optimization model (MILP) are derived from the Barron-Jensen/Frankowska (B-J/F) solution to the LWR model. Moreover, an approximated algorithm is proposed to solve the optimization model fast to make this method applicable.

## Introduction

Kernel components of adaptive control algorithms:

- Traffic volume prediction: detectors;
- Signal optimization model: traffic flow models.

Methods proposed in the past decades:

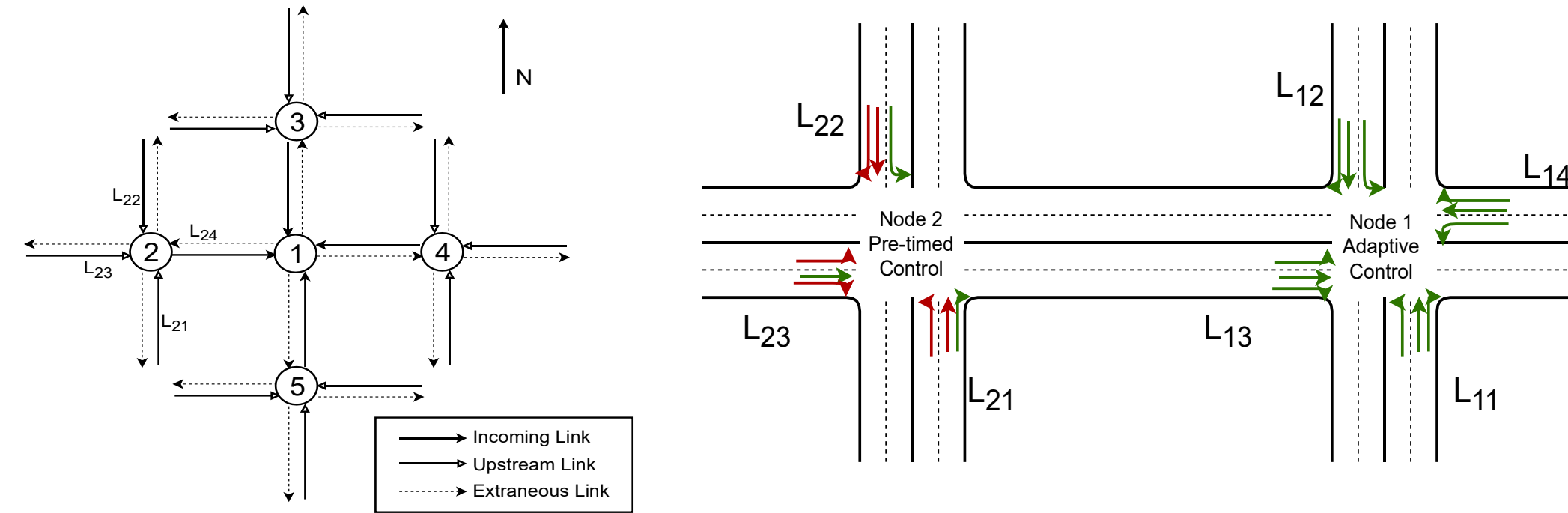
- SCOOT (1) and SCATS (2): Efficiency decreases with rapidly varying traffic conditions;
- OPAC (3) and RHODES (4): Rolling horizon scheme is utilized to address limitation of the distance between the intersection and upstream detectors;
- Zheng and Recker (5) : Assumes Poisson arrivals and constant rate in each iteration.

Contributions of this paper:

- Propose a traffic volume prediction model resorted to the current traffic state in a surveillance system;
- Propose a signal optimization model based on the Barron-Jensen/Frankowska (B-J/F) solution to the Lighthill-Whitham-Richards (LWR) model;
- Demonstration through microscopic simulation

## Network Layout

		Phase number			
		1	2	3	4
1		1 & 2	3 & 4	5 & 6	7 & 8
2		7 & 8	5 & 6	3 & 4	1 & 2
3		1 & 2	3 & 4	5 & 6	7 & 8
4		7 & 8	5 & 6	3 & 4	1 & 2
5		1 & 2	3 & 4	5 & 6	7 & 8
6		7 & 8	5 & 6	3 & 4	1 & 2
7		1 & 2	3 & 4	5 & 6	7 & 8
8		7 & 8	5 & 6	3 & 4	1 & 2
Green Time (s)		12	28	12	28
Green Time (s)		28	12	28	12



## Model Description

**Prediction:**

Predict the outflow from upstream links:

$$\max_{q_{out}^j(t,t)} \sum_{j \in J} \sum_{i \in I} \sum_{t \in N} q_{out}^j(t,i)(n_{max} - t)$$

s.t. **Compatibility**  $\forall i \in I, j \in J$

$$q_{out}^j(t,i) \leq c(i)p^j(t,r) \quad \forall i \in I, t \in N, j \in J, r \in R$$

$$q_{out}^j(t,i) \geq 0 \quad \forall i \in I, t \in N, j \in J$$

Predict the inflow of incoming links:

$$\begin{cases} q_{in}^i(t,5) = (q_{out}^{2*}(t,1)\beta^2(1) + q_{out}^{2*}(t,4)\beta^2(4) + q_{out}^{2*}(t,7)\beta^2(7))\alpha_5 \\ q_{in}^i(t,7) = (q_{out}^{2*}(t,1)\beta^2(1) + q_{out}^{2*}(t,4)\beta^2(4) + q_{out}^{2*}(t,7)\beta^2(7))\alpha_7 \end{cases}$$

**Optimization:**

Signal constraints:

$$\sum_{r \in [1, r_{max}]} p(r, t) \leq 1, \quad " t \in [1, n_{max}] \quad (a)$$

$$\sum_{r \in [1, r_{max}]} p(r, t) \geq 1, \quad " r \in [1, r_{max}] \quad (b)$$

$$p(r, t+1) + \sum_{r' \neq r} p(r', t) \leq 1, \quad " r \in [1, r_{max}], " t \in [1, n_{max} - 1] \quad (c)$$

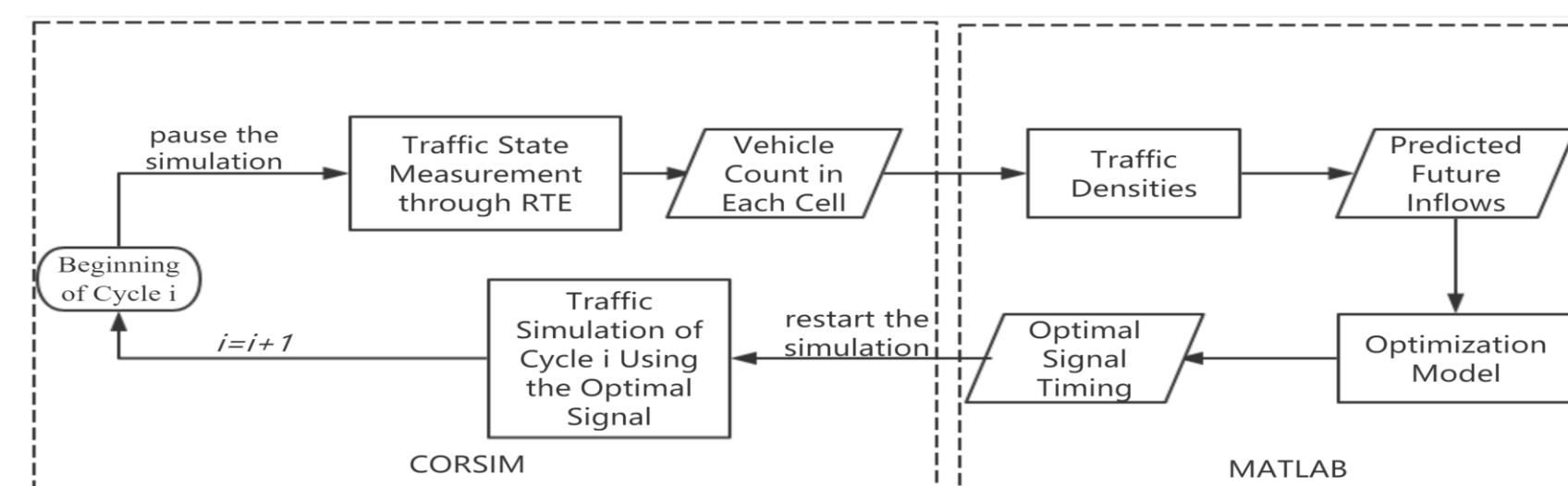
$$\sum_{r \in [1, r_{max}]} \sum_{i=t}^{t+g_{min}} p(r, i) \geq g_{min}, \quad " t \in [1, n_{max} - g_{min}] \quad (d)$$

$$\sum_{r \in [1, r_{max}]} p(r, 1) \geq 1, \quad (e)$$

$$\sum_{r \in [1, r_{max}]} p(r, n_{max}) \leq 0, \quad (f)$$

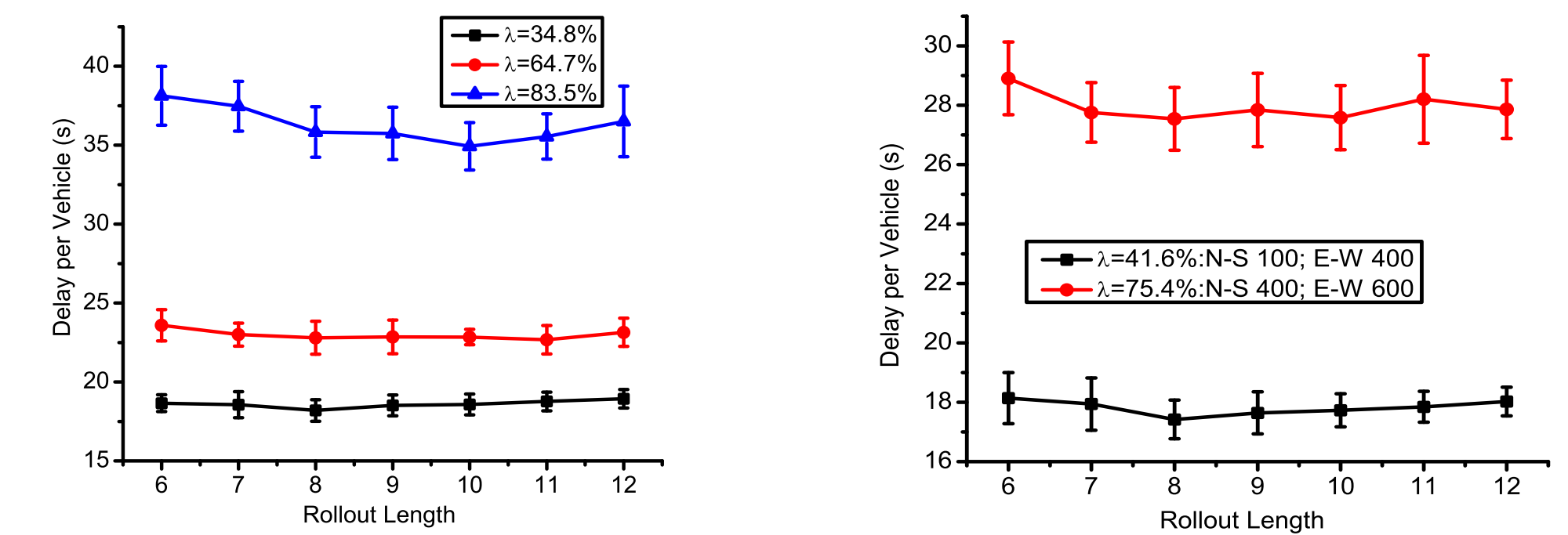
$$q_{out}^i(i, t) \leq c(i)p(r, t), \quad " i \in I, " r \in [1, r_{max}] \quad (g)$$

## Case Study



Balanced	Entry flow (vph)								
	200			400			600		
	Exact	Rollout	HCM	Exact	Rollout	HCM	Exact	Rollout	HCM
Mean	17.6	22.8	44.5	22.5	22.8	28.3	33.9	34.9	44.5
Reduced (%)	<b>23.6</b>	<b>19.4</b>	-	<b>20.6</b>	<b>19.4</b>	-	<b>23.8</b>	<b>21.6</b>	-
p-value	<b>2.2e-7</b>	<b>2.0e-7</b>	-	<b>6.0e-7</b>	<b>2.0e-7</b>	-	<b>2.4e-7</b>	<b>8.2e-7</b>	-
$\lambda$ (%)	40.2			65.8			83.5		
Unbalanced	N-S 100			N-S 200			N-S 400		
	E-W 400			E-W 500			E-W 600		
	Exact	Rollout	HCM	Exact	Rollout	HCM	Exact	Rollout	HCM
Mean	17.5	17.7	24.4	20.8	21.4	26.2	27.2	27.6	33.1
Reduced (%)	<b>28.3</b>	<b>27.2</b>	-	<b>20.7</b>	<b>18.1</b>	-	<b>17.8</b>	<b>16.6</b>	-
p-value	<b>6.8e-11</b>	<b>2.7e-10</b>	-	<b>2.1e-8</b>	<b>1.7e-7</b>	-	<b>1.2e-6</b>	<b>2.0e-6</b>	-
$\lambda$ (%)	41.6			57.2			75.4		

## Effect of Rollout Length:



## Future Research Direction

- Consider the uncertainty in the mean of volume if only historical data is available
- Take start-up delay into account;
- Develop a model for arterial street and network level.